

## **DECLARATION OF TRANSMISSION**

This form is to be used by an Executor or Administrator of the estate to transfer securities registered in the name of the deceased to the Estate of the Deceased or to a Beneficiary of the Estate. The Declaration must be sworn before a Judge, Justice of the Peace, Notary or any person that is permitted to take affidavits to be used in Provincial or Federal courts.

The original certificate must be provided with the completed Declaration and the Certificate must be endorsed by the executor and guaranteed by a Schedule I Canadian Bank or a financial institution that is a member of a recognized Medallion Signature Guarantee Program.

The form must be accompanied with an original or notarised Copy of the Letters Probate or a Probate Bond of Indemnity.

To transfer the securities to the beneficiary, the executor must complete the registration panel indicating that the securities are to be transferred directly to the beneficiary.

**DECLARATION OF TRANSMISSION**

PROVINCE OF \_\_\_\_\_ IN THE MATTER OF THE ESTATE OF

\_\_\_\_\_  
Full name of Deceased

Late of \_\_\_\_\_  
(City/Town-Province/State)

I/We \_\_\_\_\_  
(Full Name(s) and Address(es) of Executor(s) or Administrator(s))

being all/one of the Executor(s)/ Administrator(s) of the Deceased, DO SOLEMNLY DECLARE:

1. THAT the Deceased died at \_\_\_\_\_  
(City/Town-Province/State)  
\_\_\_\_\_ on or about the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_,  
testate/intestate, and at the date of death was domiciled in \_\_\_\_\_ of \_\_\_\_\_  
(Province/State)

2. THAT Letters Probate/Letters of Administration was granted to  
\_\_\_\_\_  
\_\_\_\_\_  
(Full name(s) of all Executor(s)/ Administrator(s))  
on the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_, by the  
\_\_\_\_\_  
\_\_\_\_\_  
(Full name of Surrogate Court)

3. THAT recorded in the name of \_\_\_\_\_  
(Fill in the name as set out on the face of the certificate)  
on the books of \_\_\_\_\_ (the "Issuer")

are the following securities:

Certificate No.	Description of Security (Including Class of Securities and par value, if any)	Quantity

4. THAT the Deceased was one and the same person recorded on the books of the Issuer and named in the  
aforementioned securities.
5. THAT at the date of death of the Deceased, none of the beneficiaries of the Deceased was a resident or  
domiciled in the province of Quebec.
6. THAT the aforementioned securities were at the date of death of the Deceased owned by the Deceased and  
physically situate at \_\_\_\_\_  
(City/Town-Province/State)
7. THAT by virtue of the foregoing the aforementioned securities have devolved upon and become vested in the  
aforesaid Executor(s)/ Administrator(s) who desire(s) to have the same recorded in the name(s) of the aforesaid  
Executor(s)/ Administrator(s) on the books of the Issuer.  
AND I/WE make this solemn declaration conscientiously believing it to be true, and knowing that it is of the  
same force and effect as if made under oath and by virtue of the "Canada Evidence Act".

(SEVERALLY) DECLARED before me at the

\_\_\_\_\_

in the \_\_\_\_\_ of \_\_\_\_\_

this \_\_\_\_\_ day of \_\_\_\_\_ A.D. 20 \_\_\_\_\_

\_\_\_\_\_  
Signed and Declared

\_\_\_\_\_  
A Commissioner for Oaths or Notary Public

- NOTE:
1. To be declared before any Judge, Notary Public, Justice of the Peace, or Commissioner authorized to take affidavits to be used in the Provincial or Federal Courts. In any other country it should be acknowledged before an officer of the Canadian diplomatic consular and representative services if possible; before a Notary Public, who will attach a certificate from the Court of Record of the fact of such person being a Notary and authorized by law to administer oaths.
  2. If it is desired to have the securities transferred to another, the necessary endorsement on the reverse side of the instruments or a separate Power of Attorney, must be executed by all the Executor(s)/ Administrator(s) with signature(s) guaranteed by a Schedule I Canadian Chartered Bank or a financial institution that is a member of a recognized Medallion Signature Guarantee Programme..